

## Financial Services Guide (Adviser Profiles)

Version 4.0 commencing 10 December 2021

This adviser profile should be read in conjunction with the Shartru Wealth Management Pty Ltd Financial Services Guide. It's a snapshot of who we are & it sets out our contact details, professional details, the services and products we provide and how we are paid. You can contact us using the details below.

### **The Chartist Pty Ltd**

Corporate Authorised Representative Number 001282007

ACN: 641 323 051 ABN: 40 641 323 051

Business Address: 1/13 Angler Street, NOOSA HEADS, QLD 4567

Phone: 07 5473 5743

### **F & S Futures Pty Ltd as trustee for The Goddard Family Trust**

Corporate Authorised Representative Number 000380061

ACN: 067 019 390 ABN: 39 005 794 108

### **Dynamic Wave Analytics Ltd (New Zealand)**

Corporate Authorised Representative Number 000384418

NZCN: 3010227 NZBN: 94 290 314 765 08

### **Your advisers have the following qualifications:**

#### **Nicholas Lloyd Radge**

Authorised Representative Number 000434067

Email: [nick@thechartist.com.au](mailto:nick@thechartist.com.au)

Investment Planning 1 – Securities, Derivatives & Managed Investments (RG146 – Tier 1)

#### **Craig Fisher**

Authorised Representative Number 000388309

Email: [craig@thechartist.com.au](mailto:craig@thechartist.com.au)

Diploma of Financial Services (Financial Planning) – (RG146 – Tier 1)

**Scott Jeffrey Goddard**, Authorised Representative Number 000386193

Adviser Compliance Solution – Kaplan Professional (RG146 – Tier 1)

**Peter Michael Fillon Hammersley**, Authorised Representative Number 000386147

Adviser Compliance Solution – Kaplan Professional (RG146 – Tier 1)

**Zachery Radge**, Authorised Representative Number 001294332

Email; [Zach@thecharist.com.au](mailto:Zach@thecharist.com.au)

Securities & Generic Knowledge - Kaplan Professional (RG 146 - Tier 1)



## Services & product Shartru Wealth can advise you on:

I am authorised to provide **General advice** on the following financial products:

Authorised Financial Products	Nicholas Radge	Craig Fisher
Deposit products	✓	✓
Government Debentures, Stocks & Bonds	✗	✗
Investment Life Insurance Products	✗	✗
Life Insurance Risk Products	✗	✗
Managed Investment Schemes, including IDPS	✓	✓
Securities	✓	✓
Retirement Savings Account Products	✗	✗
Standard Margin Lending Facilities	✗	✗
Superannuation (including SMSF)	✗	✗
Derivatives incl. Contracts for Difference (CFD)	✓	✓
Authorised Financial Products	Scott Goddard	Peter Hammersley
Deposit products	✓	✓
Government Debentures, Stocks & Bonds	✗	✗
Investment Life Insurance Products	✗	✗
Life Insurance Risk Products	✗	✗
Managed Investment Schemes, including IDPS	✓	✗
Securities	✓	✓
Retirement Savings Account Products	✗	✗
Standard Margin Lending Facilities	✗	✗
Superannuation (including SMSF)	✗	✗
Derivatives incl. Contracts for Difference (CFD)	✓	✗
Authorised Financial Products	Zachery Radge	
Deposit products	✓	
Government Debentures, Stocks & Bonds	✗	
Investment Life Insurance Products	✗	
Life Insurance Risk Products	✗	
Managed Investment Schemes, including IDPS	✓	
Securities	✓	
Retirement Savings Account Products	✗	
Standard Margin Lending Facilities	✗	
Superannuation (including SMSF)	✗	
Derivatives incl. Contracts for Difference (CFD)	✓	

## How can you give us instructions?

It is our current policy that The Chartist does not accept instructions from clients to deal in financial products. Should you wish to act on the general advice provided by us you should give your instructions to a financial adviser or other AFS licensee authorised to transact on your behalf.

## How will The Chartist charge you for the service?

We provide clients with a choice of paying fees for general advice services via a range of methods including an hourly rate, fixed fee for service subscriptions & education material. The fees that may be charged for the provision of the following services;

- Ongoing subscriptions to The Chartist service;
- Annual subscription fee for Trade Long-term service, which includes Nick Radge's personal US Momentum trading strategy and positions;
- Course fees, for educational services;
- Fee for the sale of trading and investments ebooks;
- Fees for the provision of conference and seminar services;
- Hourly rate charge for private consultations or trading system reviews;
- Fees for the provision of Turnkey Trading System computer code;
- Receiving a rebate of the initial signup fee for from Norgate Data for referrals;
- Receiving commission payments from Ontraport for referrals.

Service Fees	The Chartist
Private consultation Hourly rate (or part thereof)	Between \$110AUD and \$330AUD per hour
Chartist Membership Fee (Depending on the type of membership)	\$120AUD per month or between \$605AUD and \$1,320AUD per year
Trade Long-term (including Nick Radge's personal US Momentum trading strategy and positions service)	Annual Fee \$495USD
Course and educational service fees	Between \$34AUD to \$15,250AUD
eBooks Sales (per eBook)	Between \$8.99AUD to \$22AUD
Turnkey Trading System computer code	Between \$660AUD to \$1,100AUD
Conference and Seminar Fees	Between \$200AUD to \$4,500AUD

## Do we receive remuneration, commission, fees or other benefits in relation to providing the financial services or assistance to you and how is that commission calculated?

- All fees are paid to The Chartist and neither The Chartist nor we receive conflicted remuneration.
- Nicholas Radge & Zachary Radge may receive salaries, discretionary bonuses or distribution of profits from The Chartist. The amount of these salaries is dependent on a range of factors including the amount of revenue after expenses are met.
- Craig Fisher may receive a salary, discretionary bonus and up to \$6,800AUD per new student who joins the course and education service, which is paid by The Chartist.
- Scott Goddard receives \$90AUD per chart research provided to The Chartist.
- Peter Hammersley receives \$90AUD per chart research provided to The Chartist.

## Do I have any Referral Arrangements in place or potential Conflicts of Interest?

- The Chartist has referral arrangements in place with a range of specialist businesses as this allows us to refer you to other professionals in areas that we do not practice in. We do not pay referral fees.
- The Chartist receives a rebate of 40% of the initial signup fee paid to Norgate Investor Services Pty Ltd ABN 70 058 485 395 (Norgate Premium Data).
- The Chartist can receive up to 5 free trades (valued at \$9.50 each) if you sign up and activate your account with SelfWealth using The Chartist referral link.
- The Chartist can receive a 25% commission from referrals we make to Ontraport.
- The Chartist pays a licence fee to XL automation for members who choose to download Share Trade Tracker.
- The Chartist and us do not own shares in Shartru IM and Shartru Wealth.
- We place trades in Australian and US markets, in which we do so as individuals or through related entities, in which our subscribers may also trade positions.